



# Vikas Yadav & Associates

Company secretaries & corporate law consultants

## COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED MARCH 31, 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Vikas Yadav, Company Secretary in Practice, is aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I declare and certify for and on behalf of Clix Capital Services Private limited ("the Company") that:

1. the Company has a Structured Digital Database in place;
2. control exists as to who can access the SDD;
3. all the UPSI disseminated in the previous quarter have been captured in the Database;
4. the system has captured nature of UPSI along with date and time;
5. the database has been maintained internally and an audit trail is maintained; and
6. the database is non-tamper able and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 number of event during the quarter ended and has captured 1 number of the said required event.

I would like to confirm that there were no non-compliance(s) observed in this quarter.

For Vikas Yadav & Associates  
Company Secretaries

Vikas Yadav  
Proprietor

Certificate of Practice Number: 20523

21/04/2023



**Note:** The Company has already had the Structured Digital Base (SDD) software in place but till the mid of March 2023, due to ambiguity in practical operations and technical glitch of Structured Digital Base (SDD) Software, the company has not made the required entries in the SDD software inadvertently. However, SDD software become operational from March 31, 2023.

Further, we like to bring to your knowledge that the Company has ensure compliance of the same from March 31, 2023 and will ensure the same is continued and duly complied pursuant to SEBI (Prohibition of Insider Trading), Regulations, 2015 as amended from time to time.

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